

ICTMC-16に向けた研究討論会
2008.8.22

Characterization of Fe 3d states in CuFeS_2 by X-ray emission spectroscopy

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4. University of Tokyo

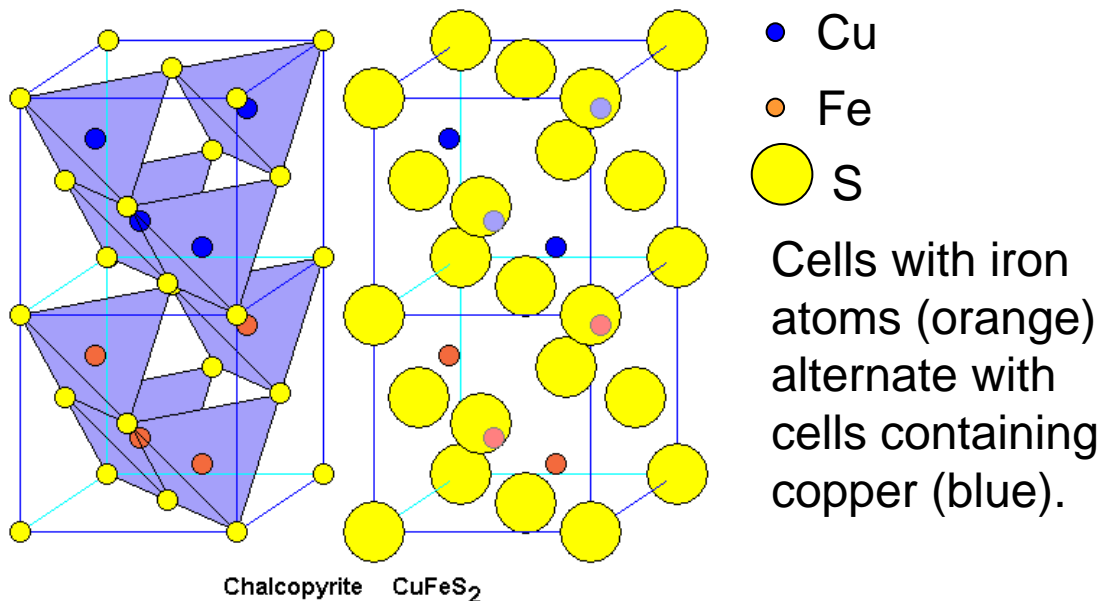


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- Overview of X-ray Emission Spectroscopy
Why and how XES provides information on d-d transitions buried in the CT absorption
- XES of CuFeS_2
- Interpretation of XES results

Introduction

- In the following is presented a review on experimental and theoretical studies on the 3d electronic states of Fe in chalcopyrite CuFeS_2 , $\text{CuGaS}_2:\text{Fe}$ and $\text{CuAlS}_2:\text{Fe}$



www.asahi-net.or.jp/~ug7s-ktu/e_odo.htm

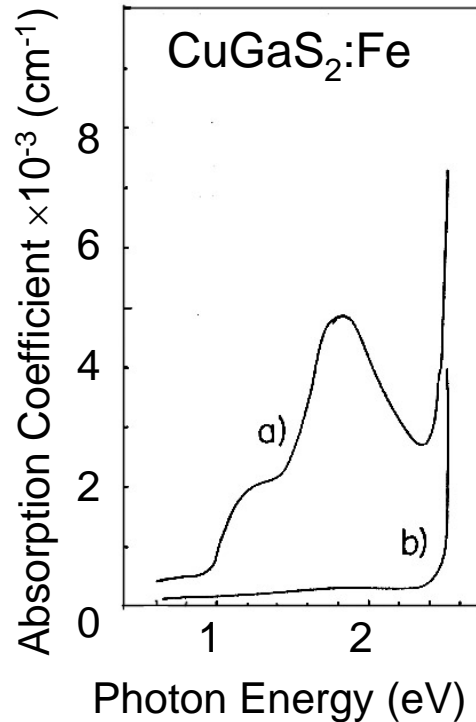
Mystery of CuFeS_2

- Chalcopyrite, CuFeS_2 is a mineral compound with a golden lustre and has been known as a semiconductor with an antiferromagnetic ordering. The local magnetic moment of Fe has been known to be as small as $3.85 \mu_B$ from neutron scattering experiments[1].
- The result is conflicting with ionic bonding model of CuFeS_2 in which high spin $\text{Fe}^{3+}(3d^5)$ with local moment of $5 \mu_B$ is expected.
- To elucidate the electronic structure of CuFeS_2 optical studies has been conducted in single crystals of $\text{CuGaS}_2:\text{Fe}$ and $\text{CuAlS}_2:\text{Fe}$ as well as CuFeS_2 .

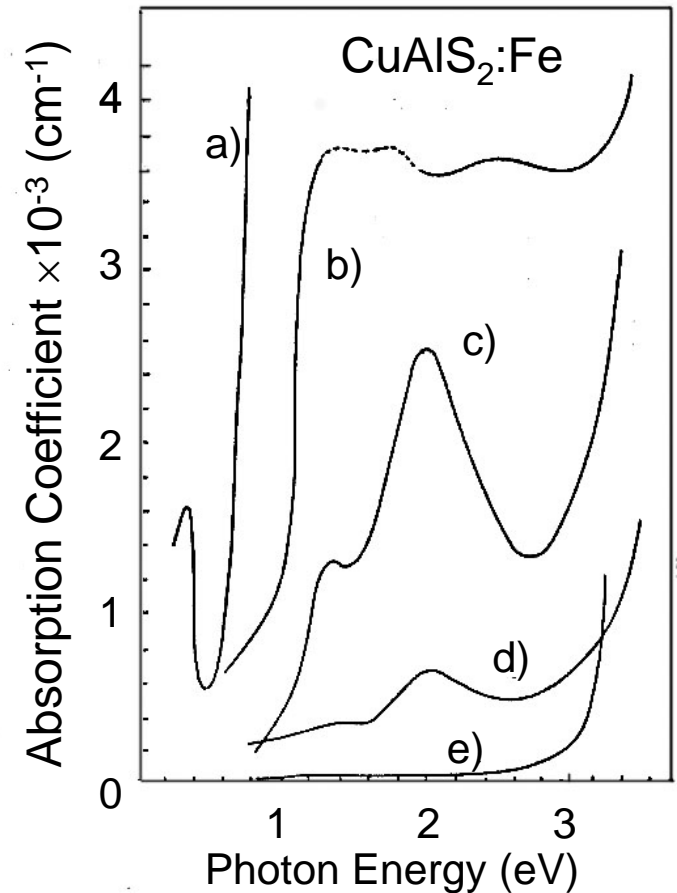
[1] G.Donnay, L.M. Corliss, J.D.H. Donnay, N. Elliot and J.M. Hastings: Symmetry of Magnetic Structures: Magnetic Structure of Chalcopyrite Phys. Rev. 112 (1958) 1917-1923.

Optical absorption spectrum in CuFeS_2 and $\text{CuGaS}_2:\text{Fe}$ and $\text{CuAlS}_2:\text{Fe}$

- In order to elucidate electronic structures of Fe in CuFeS_2 , Teranishi and Sato studied optical spectroscopy in Fe-doped chalcopyrite-type semiconductors, CuAlS_2 and CuGaS_2 , and found broad and strong absorption band with two peaks around 1.3 eV and 1.9 eV [2]



a) $\text{CuGaS}_2:\text{Fe}_{0.006}$, b) CuGaS_2



a) CuFeS_2 , b) $\text{CuAlS}_2:\text{Fe}_{0.07}$,
c) $\text{CuAlS}_2:\text{Fe}_{0.006}$, d) $\text{CuAlS}_2:\text{Fe}_{0.0008}$,
e) CuAlS_2

[2] T. Teranishi and K. Sato:
J. Phys. Soc. Jpn. 36 (1974)
1618-1624.

Theoretical Studies on the Energy Band of CuFeS_2

- Kambara calculated absorption spectra of CuFeS_2 and $\text{CuGaS}_2:\text{Fe}$ using a model cluster consisting of 17 atoms.
- Energy gap between occupied and unoccupied levels is 1.0eV and 1.5eV in $\text{CuGaS}_2:\text{Fe}$ corresponding to observed absorption band energies.
- If antiferromagnetic configuration is assumed Fe moment is $2.8\mu_B$ at the center Fe and $-3.7\mu_B$ at the corner Fe. Overlap of 3d orbitals is responsible to the reduction.

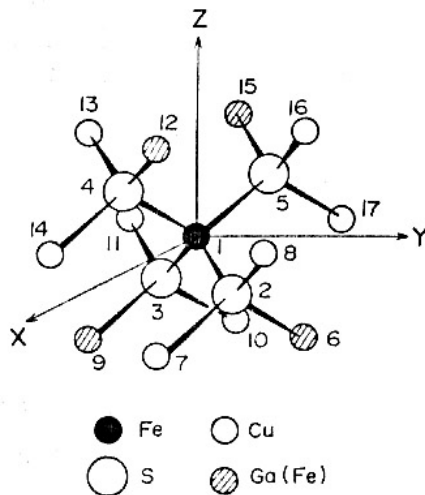
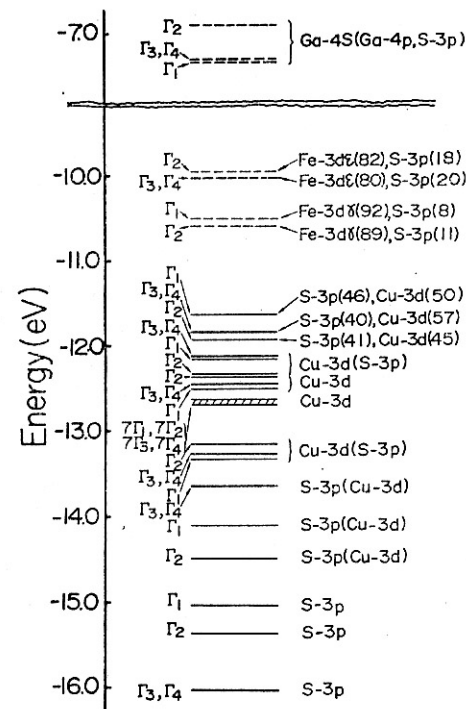
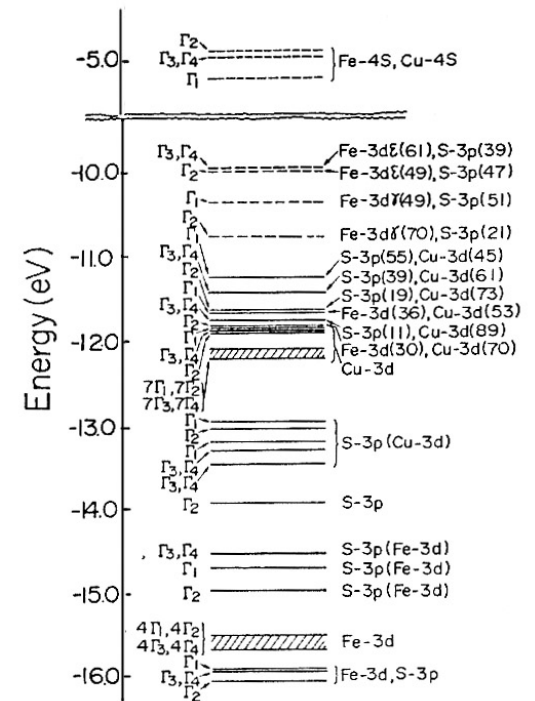


Fig. 1. 17-atom cluster and the coordinate system.



$\text{CuGaS}_2:\text{Fe}$



CuFeS_2

Infrared photoluminescence spectra in $\text{CuGaS}_2:\text{Fe}$ and $\text{CuAlS}_2:\text{Fe}$

- Sharp photoluminescence (PL) peak was found around 0.61 eV (CuGaS_2) and 0.72 eV (CuAlS_2). A Zeeman spectrum analysis allowed us to assign the sharp PL lines to the ligand-field transition from an excited state 4T_1 to a ground state 6A_1 in the $3d^5$ manifold of Fe^{3+} .

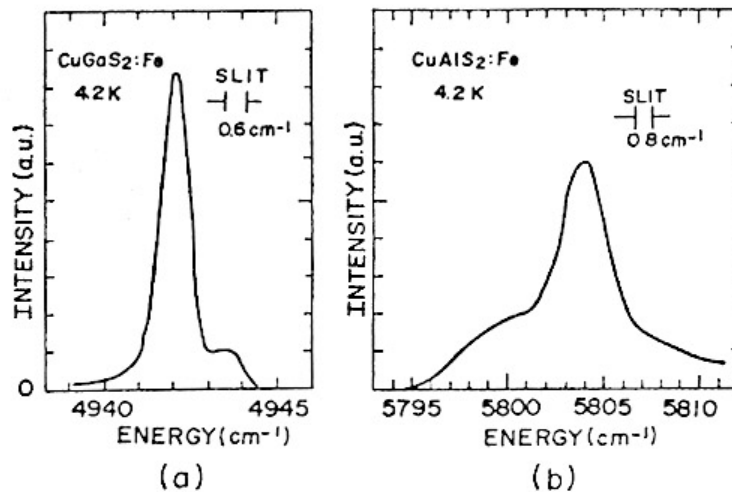


Fig. 1. The luminescence spectra of (a) $\text{CuGaS}_2:\text{Fe}$ and (b) $\text{CuAlS}_2:\text{Fe}$ at 4.2 K.

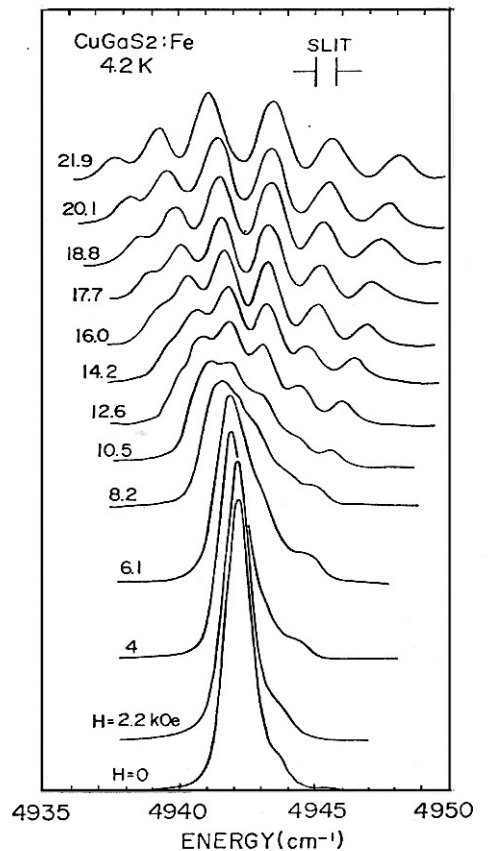


Fig. 4. The Zeeman spectra of the zero line of $\text{CuGaS}_2:\text{Fe}$ at 4.2 K. The spectra are arbitrarily shifted for the sake of clarity.

Interpretation of IR luminescence spectrum by Ligand-field theory

- The observed IR PL was interpreted as a ligand field transition from 4E manifold derived from 4T_1 of $3d^5$ state in Fe^{3+} , taking into account the Zeeman spectra of the PL line.
- However, extremely reduced values of the Racah parameters were necessary to account for the energy position of the IR PL.

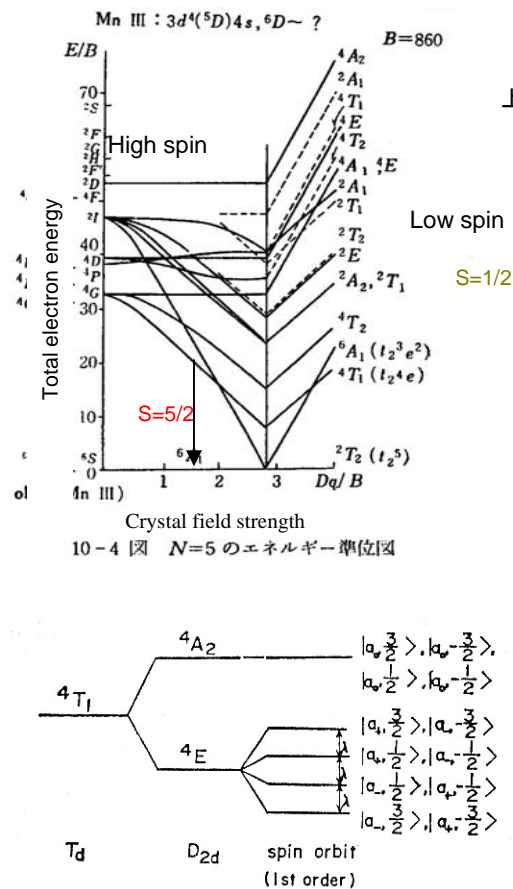


Fig. 7. A proposed energy level scheme for the excited state (4T_1) of Fe^{3+} with a tetragonal distortion (D_{2d}) and a spin-orbit interaction. In this figure $a_{\pm} = \mp 1/\sqrt{2}(\alpha \pm i\beta)$ and $a_0 = \gamma$.

上村, 菅野, 田辺「配位子場理論とその応用」(裳華房)

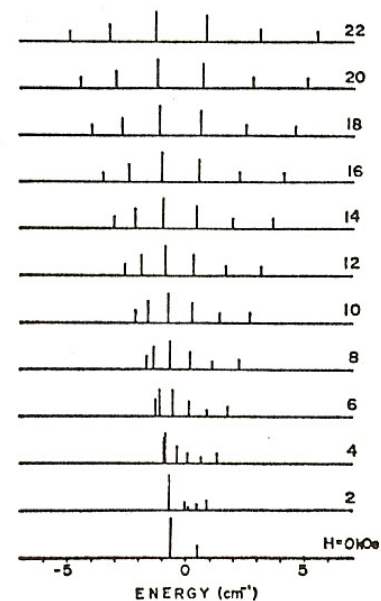
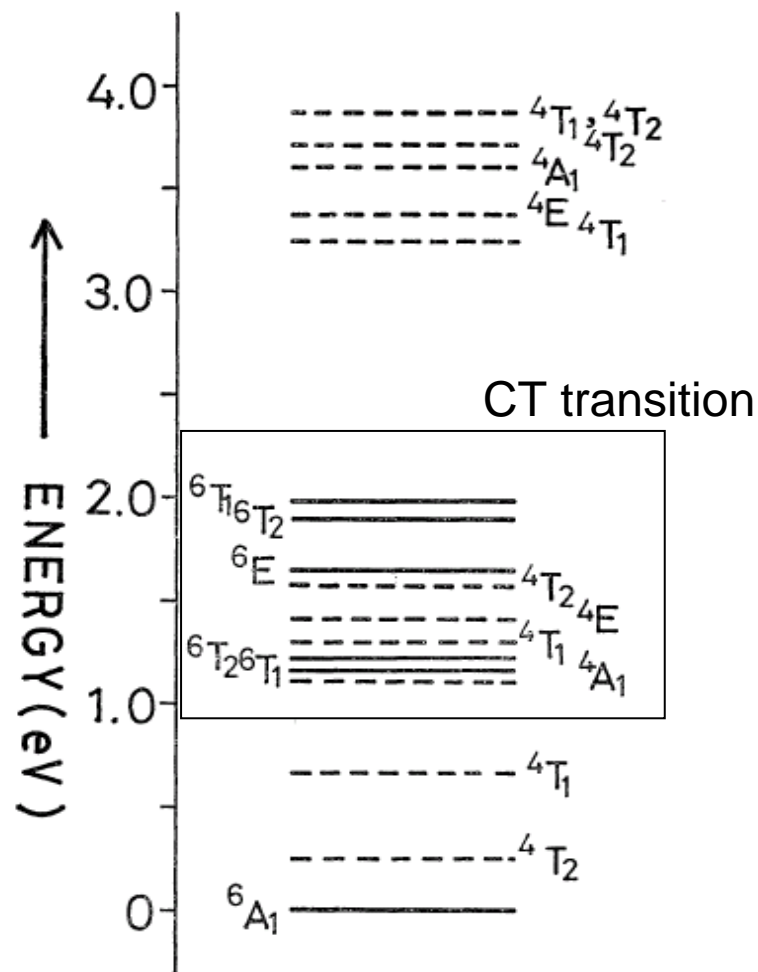
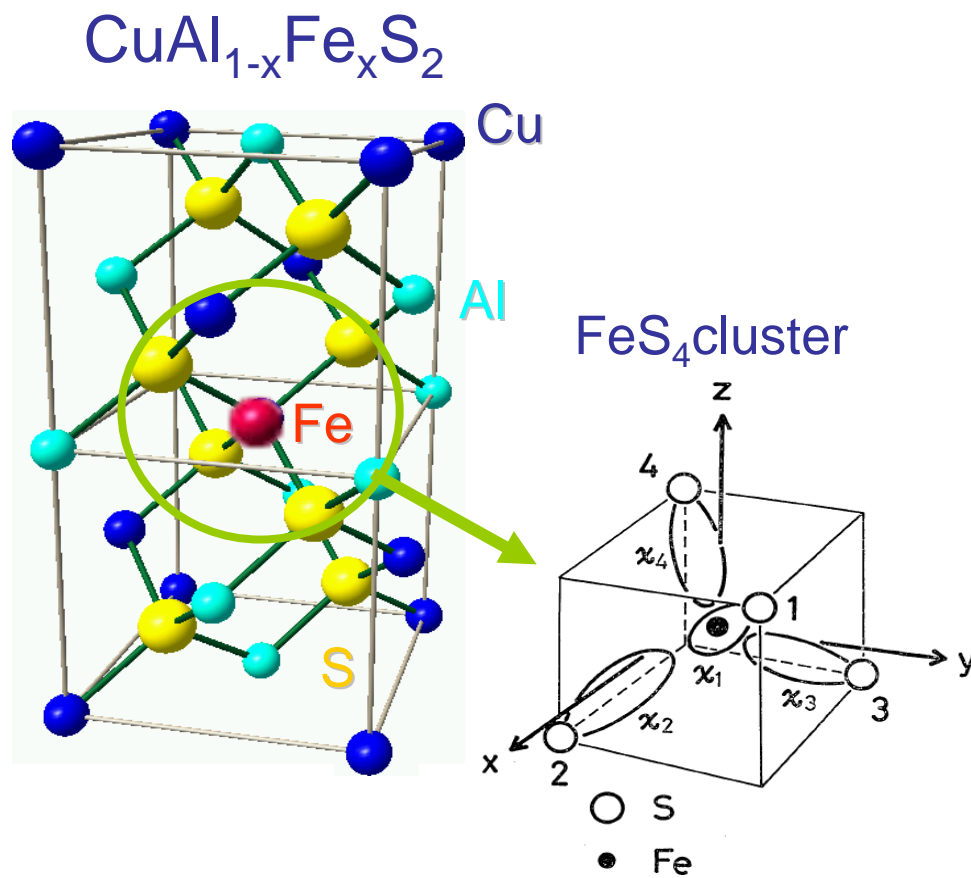


Fig. 8. The predicted Zeeman spectra for various magnitudes of the magnetic field. The excited state is assumed to be represented by $|a_-, +3/2\rangle$ and $|a_+, -3/2\rangle$.

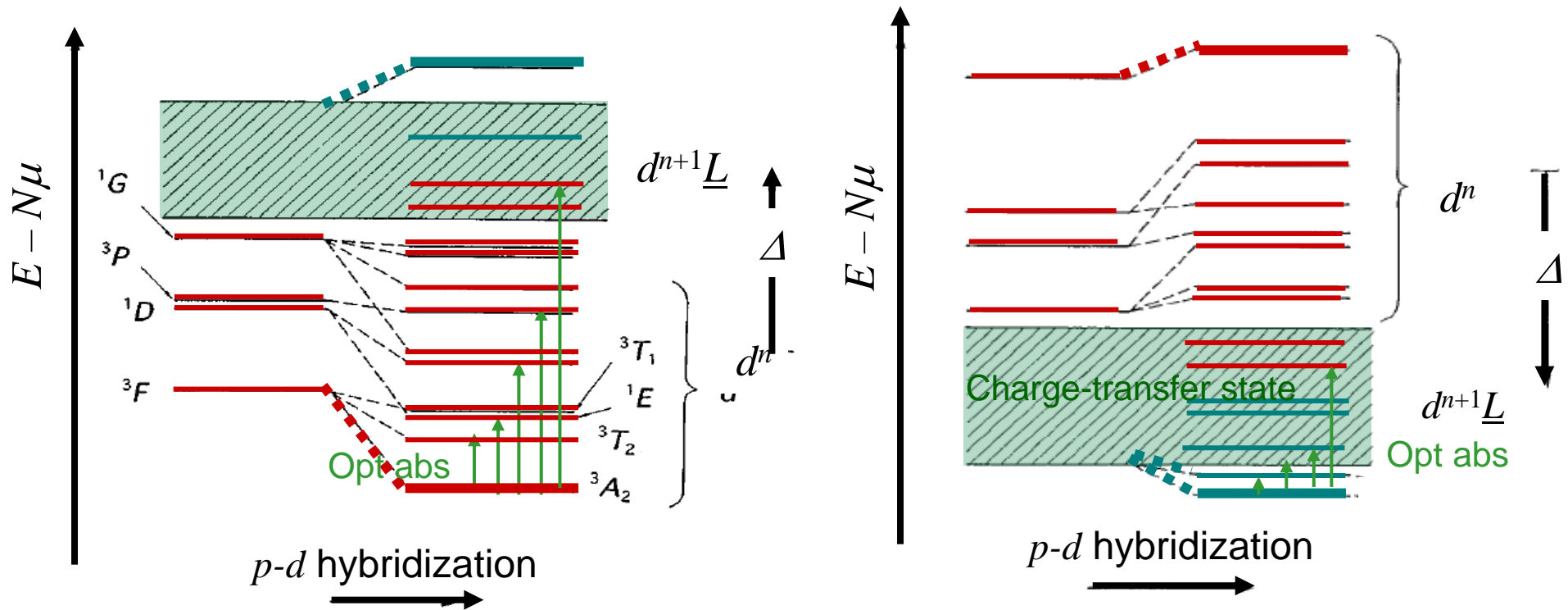
Molecular orbital + CI calculation in the Fe-S4 cluster



Haldane-Anderson mechanism for the formation of localized “ d ” states in negative- Δ systems

$\Delta > 0$

$\Delta < 0$

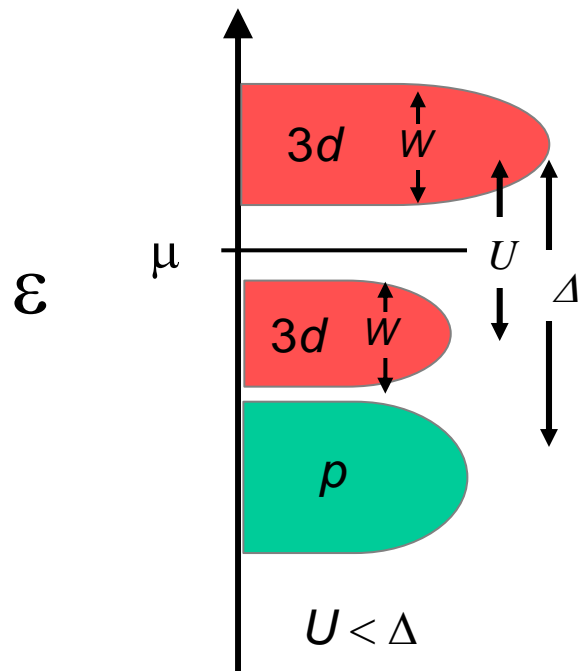


$$\Delta = E(d^{n+1}\underline{L}) - E(d^n): \text{Charge-transfer energy}$$

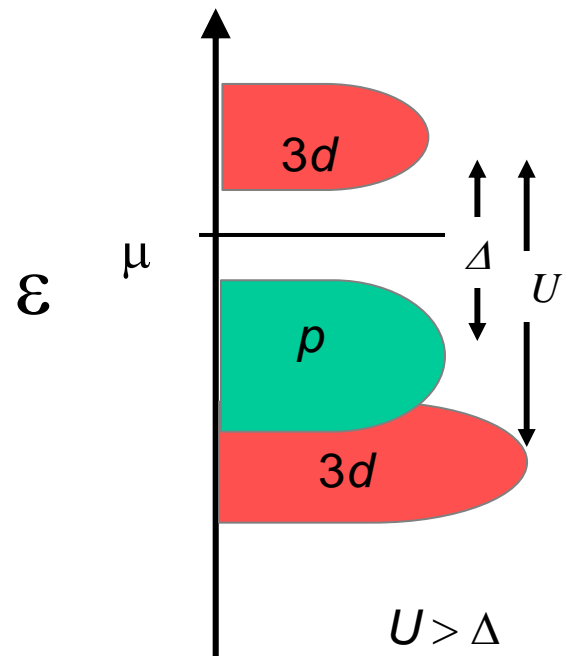
Electronic structure of transition-metal compounds

Mott-Hubbard type insulator

Charge-transfer-type insulator



gap $\sim U - W$



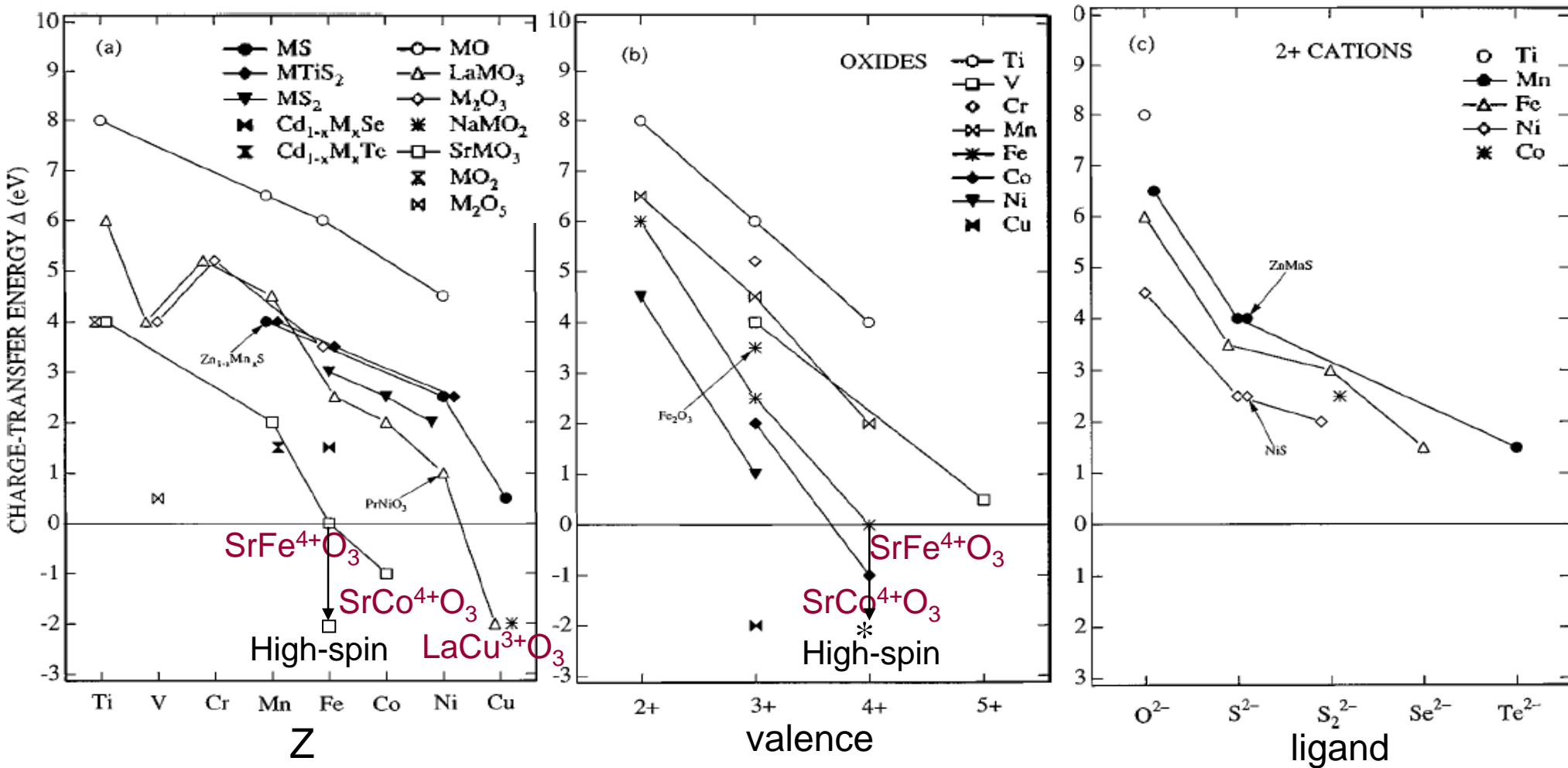
gap $\sim \Delta - W$

W : bandwidth ~ 3 eV

U : Coulomb energy ~ 7 eV

Δ : Charge-transfer energy

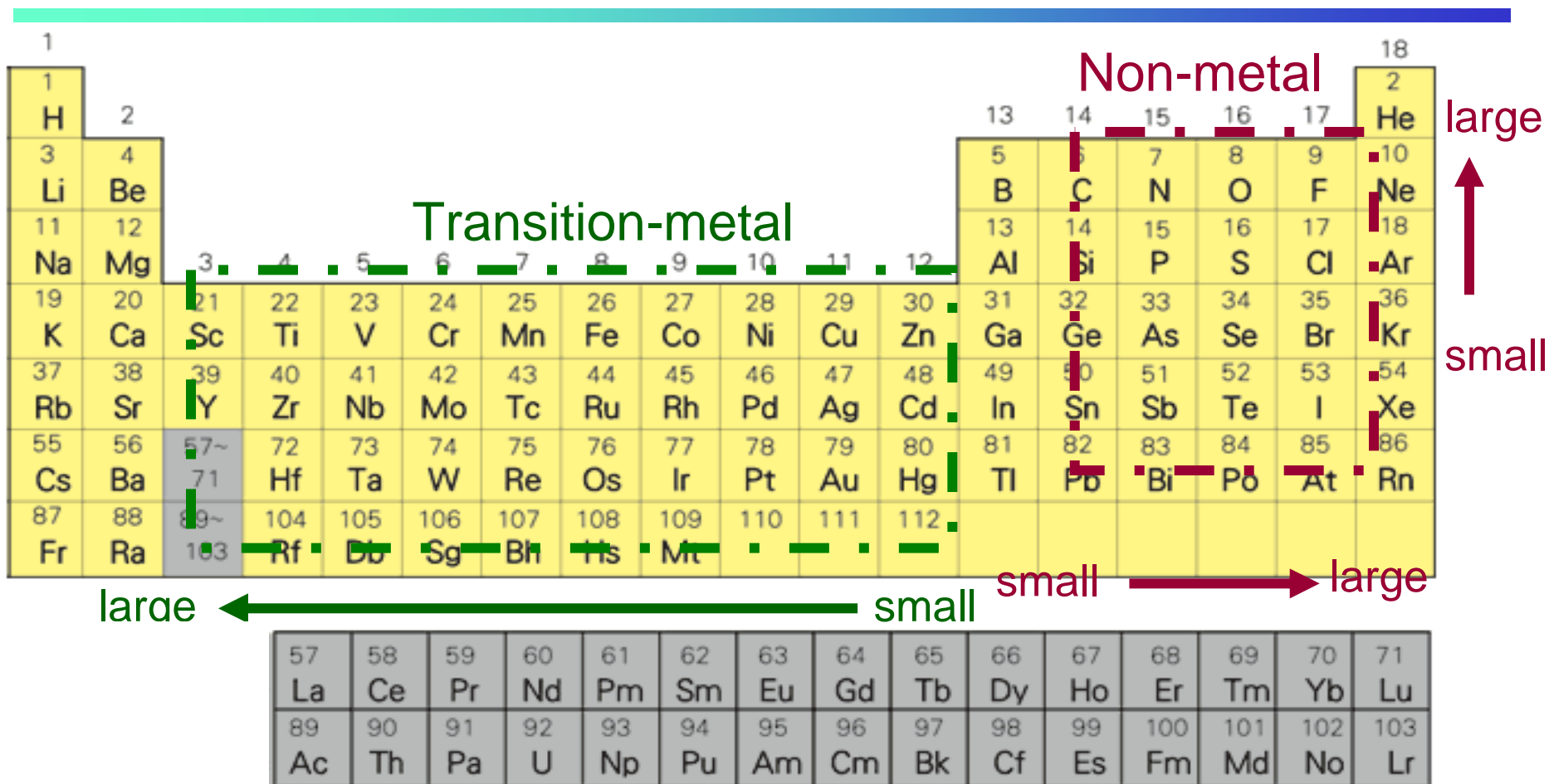
Chemical trend in charge-transfer energy Δ



$\Delta = E(d^{n+1}\underline{L}) - E(d^n)$: Charge-transfer energy

A.E. Bocquet et al., PRB '92
M. Imada, A. Fujimori, Y. Tokura, RMP '98

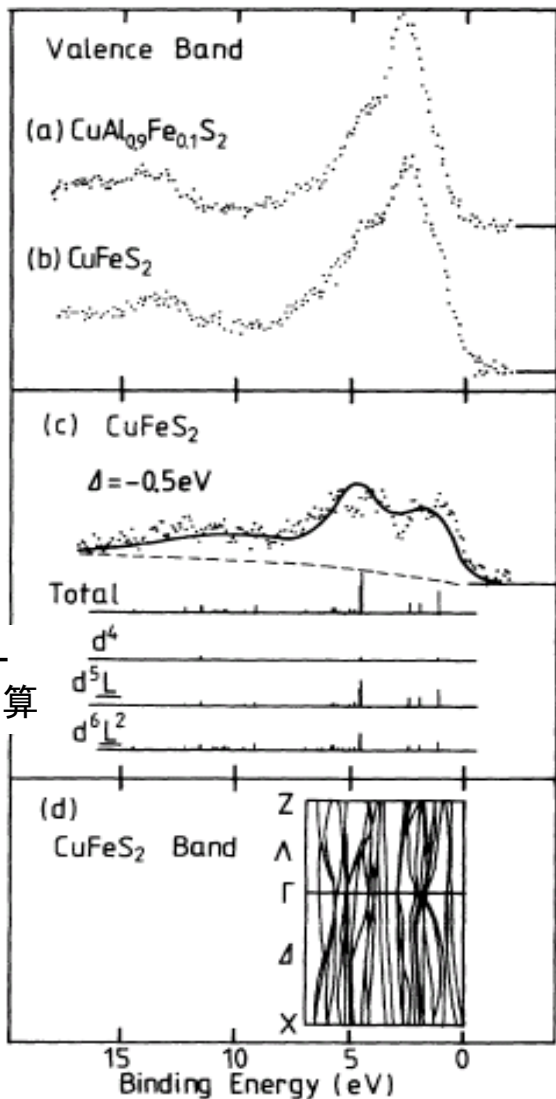
Chemical trend in charge-transfer energy Δ



valence: 2- - + 2+ 3+ 4+ 5+
large ← **small**

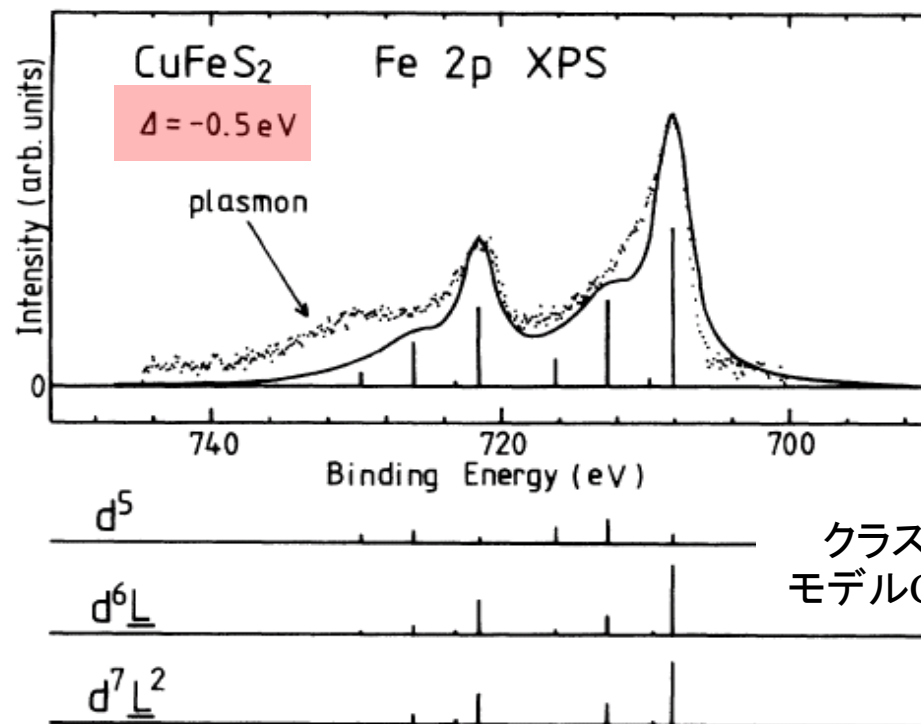
負の電荷移動エネルギーをもつ磁性半導体カルコパイライト型CuFeS₂

価電子帯光電子スペクトル



クラスター
モデルCI計算

Fe内殻光電子スペクトル



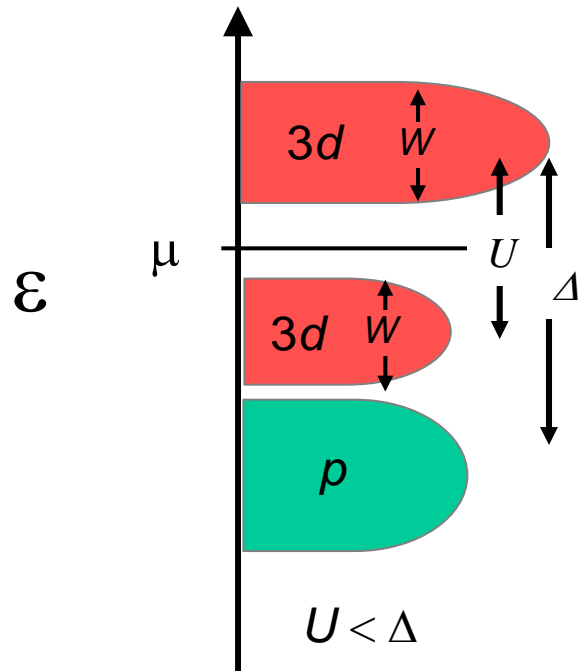
クラスター
モデルCI計算

M.Fujisawa, S.Suga, T.Mizoguchi, A.Fujimori and K.Sato:
Electronic Structures of CuFeS_2 and $\text{CuAl}_{0.9}\text{Fe}_{0.1}\text{S}_2$
Studied by Electron and Optical Spectroscopies;
Phys. Rev. B49 [11] (1994) 7155-7164.

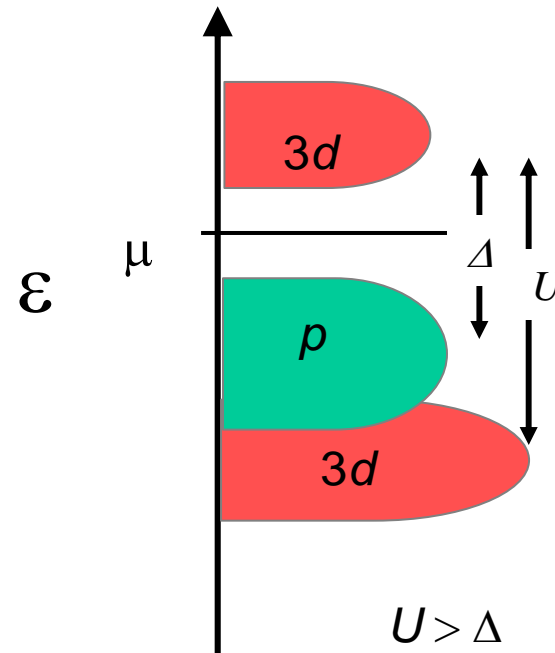
Electronic structure of transition-metal compounds

Mott-Hubbard type insulator

Charge-transfer-type insulator



gap $\sim U - W$



gap $\sim \Delta - W$

Metal?

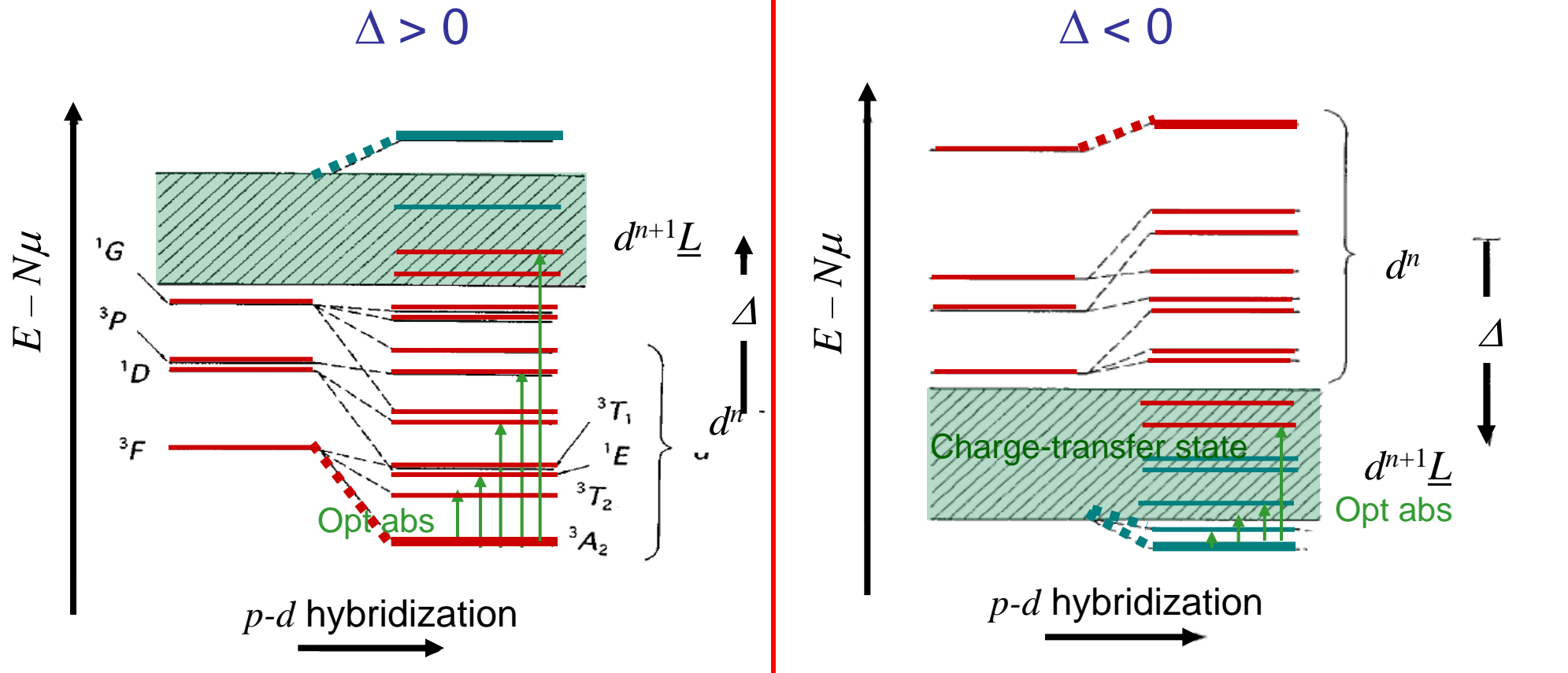
Indeed, SrFeO_3
is a metal.
However,

W : bandwidth ~ 3 eV

U : Coulomb energy ~ 7 eV

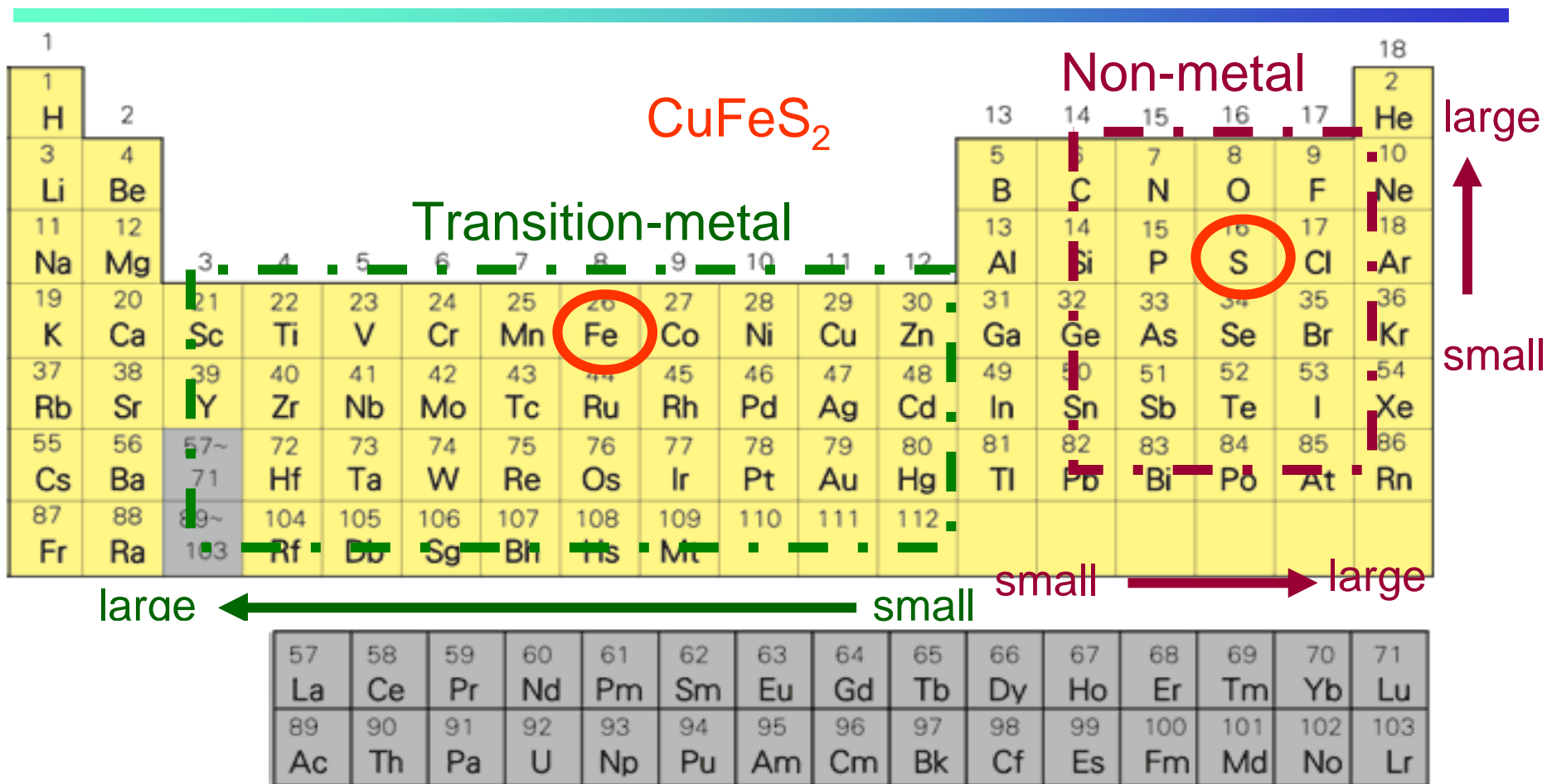
Δ : Charge-transfer energy

Haldane-Anderson mechanism for the formation of localized “ d ” states in negative- Δ systems



$$\Delta = E(d^{n+1}\underline{L}) - E(d^n): \text{Charge-transfer energy}$$

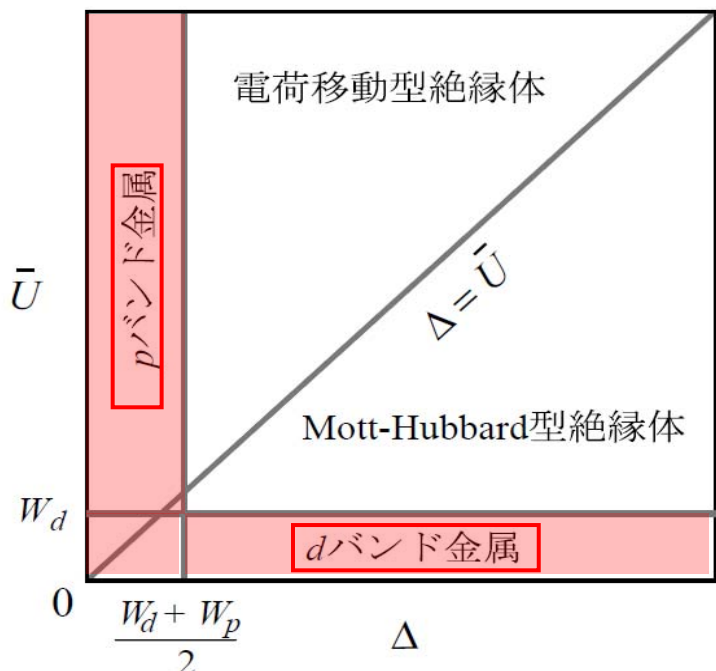
Chemical trend in charge-transfer energy Δ



valence: 2- - + 2+ 3+ 4+ 5+
 large ← small

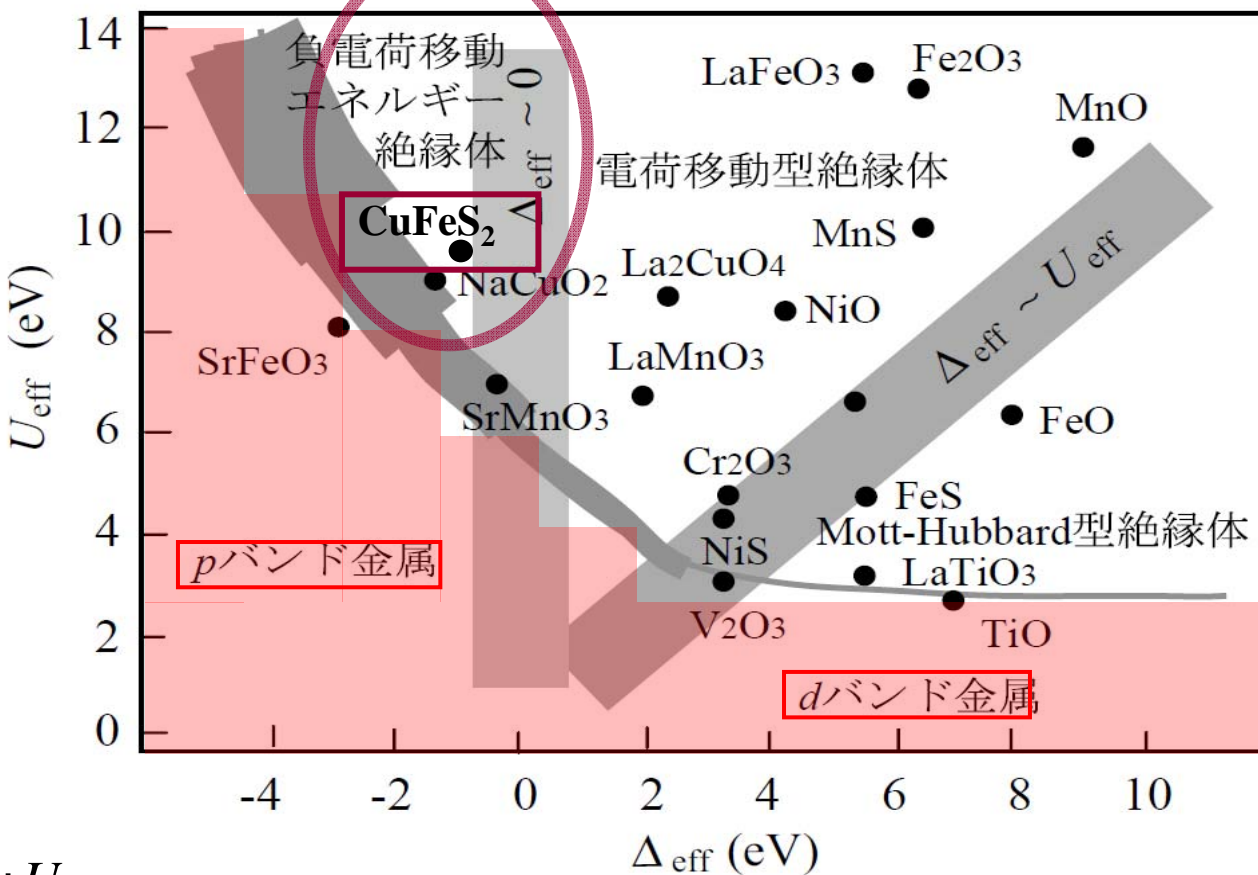
Zaanen-Sawatzky-Allen相図

模式図



なぜ絶縁体なのか？

実際の物質

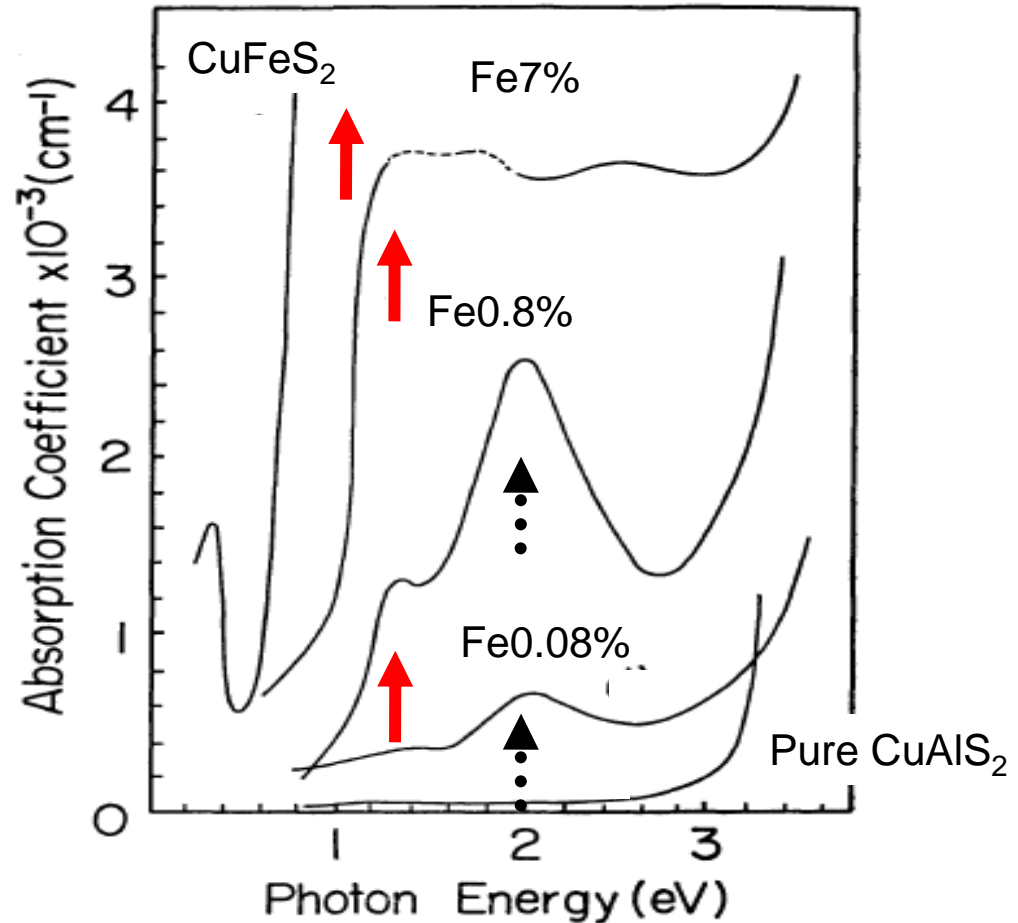
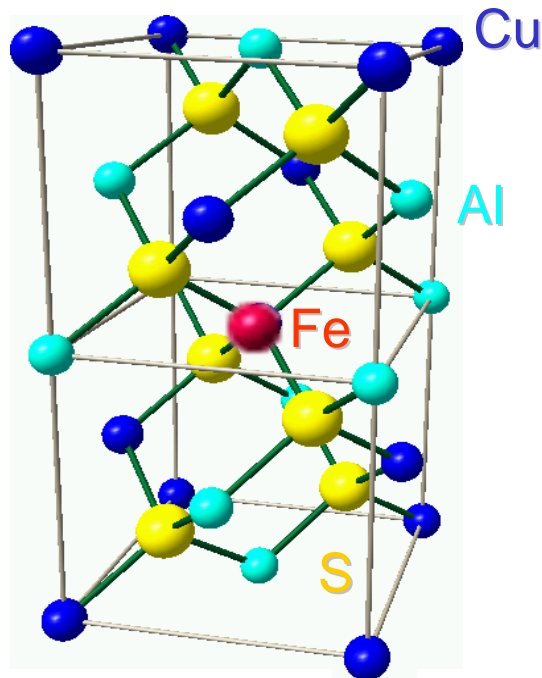


電荷移動エネルギー: Δ

原子内クーロンエネルギー: U

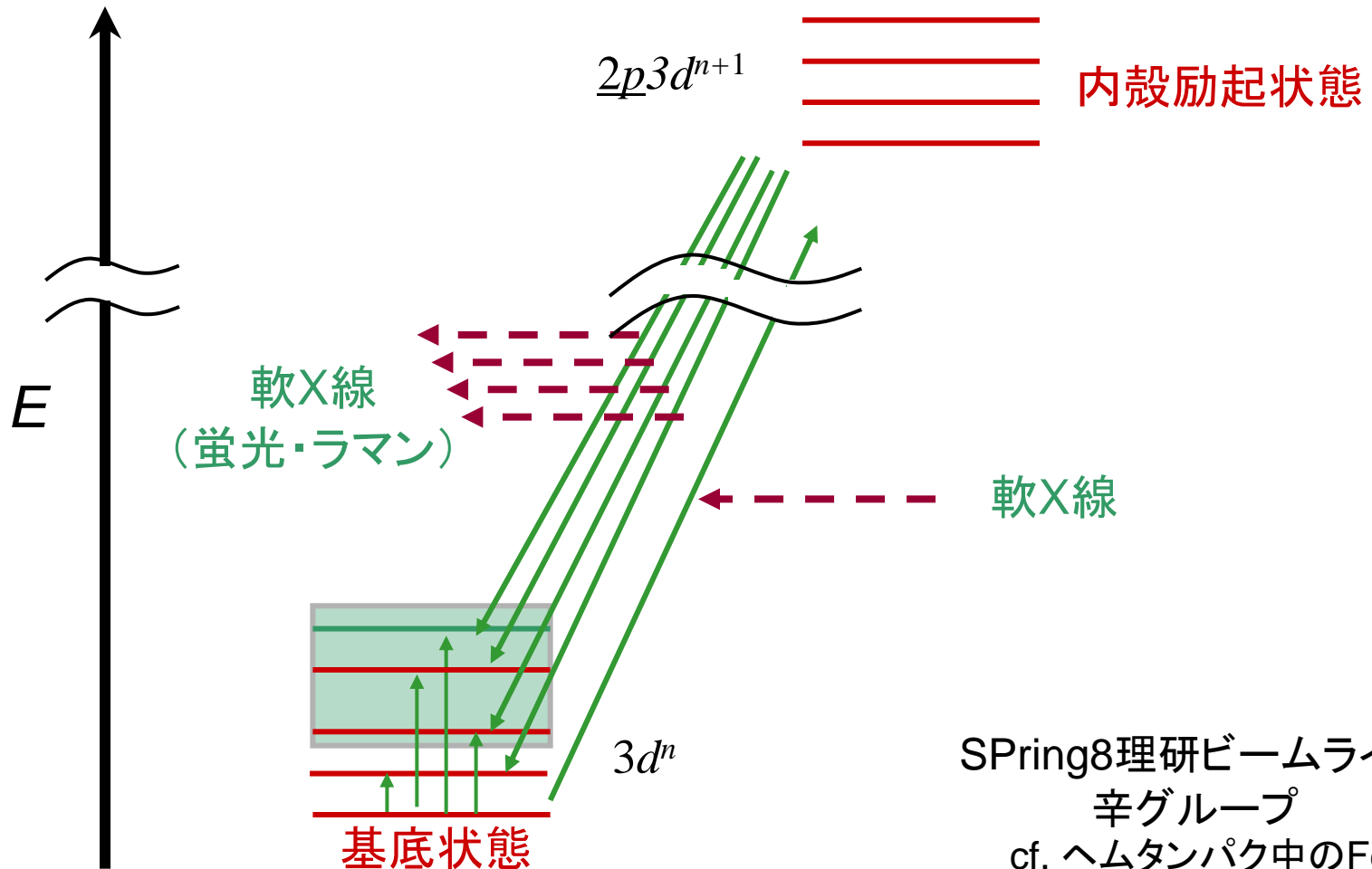
Unusually low-energy *d-d* optical absorption peak

Optical absorption due to $\text{Fe}^{3+}(d^5)$ ion in chalcopyrite-type I-III-VI₂ semiconductor



電荷移動励起に隠れた $d-d$ 遷移を見る — 共鳴非弾性X線散乱 (RIXS) —

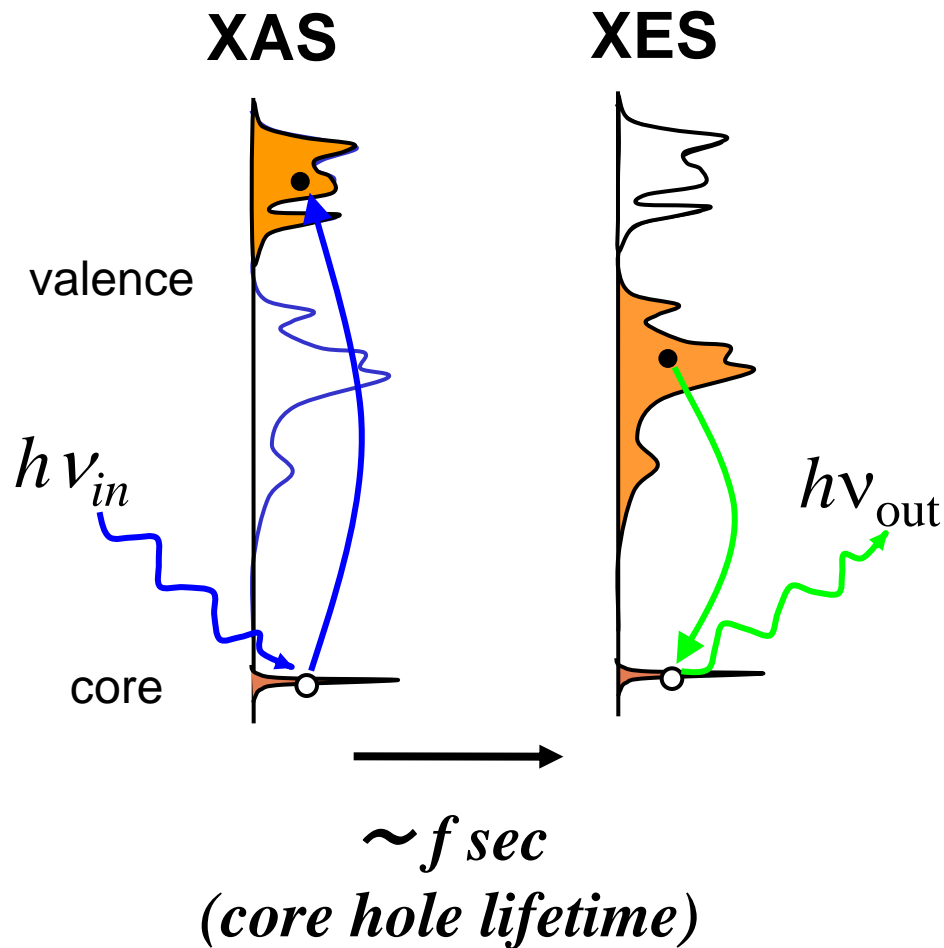
Resonant inelastic x-ray scattering (RIXS)



SPring8理研ビームライン
辛グループ
cf. ヘムタンパク中のFe

XAS & XES

X-ray absorption(XAS) and emission(XES) spectroscopy



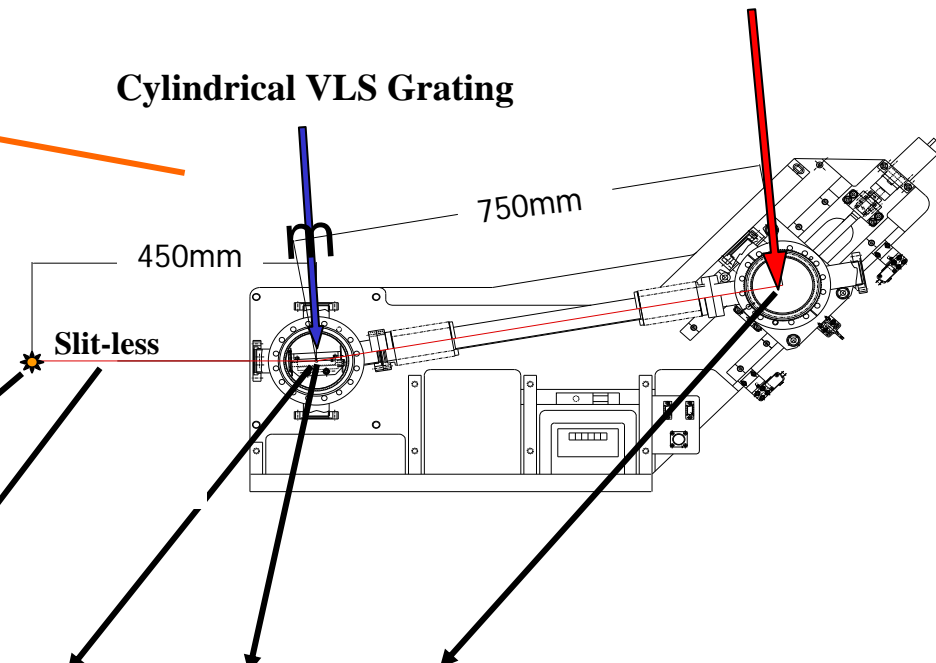
- XAS probes unoccupied electronic states
- XES probes occupied electronic states
- Applicable to solids ranging from metals to wide gap insulators
- Large probing depth
- Element specificity

SPring-8 BL27SU c3 station



CCD Detector with super-resolution reconstruction

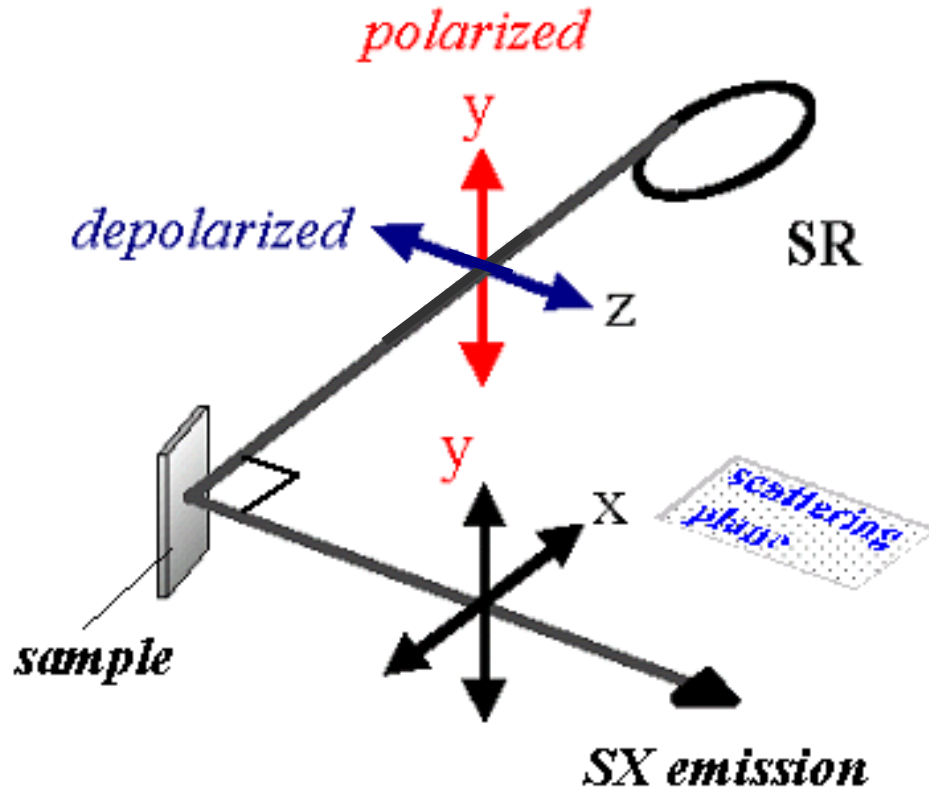
Cylindrical VLS Grating



	Mount type	Spot size (μm)	Slit width (μm)	Lines/mm & α (deg.)	Radius (mm)	Detector type	Resolution(eV) @ Fe 2p edge
BL27SU	Flat Field type	7~10	None	Valid line 2200 & 87.5	8940	背面照射型 CCD(2k x 2k)	0.6

Polarization dependence

depolarized and polarized configurations for the XES measurement

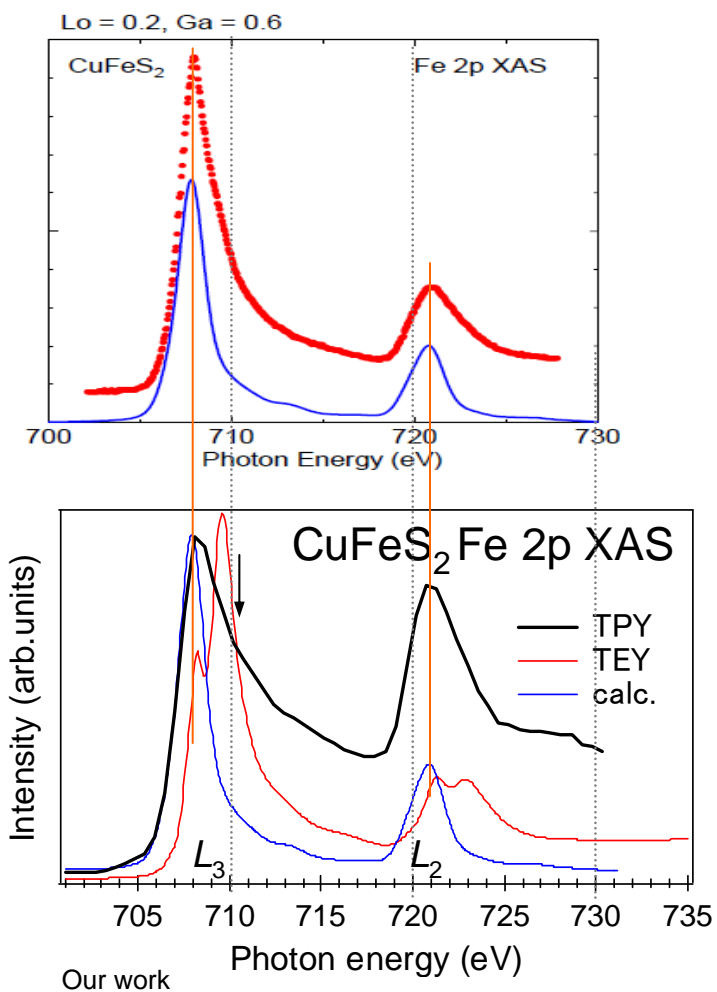


'depolarized' configuration
polarization vector of the incident photon is NOT included in detected photons

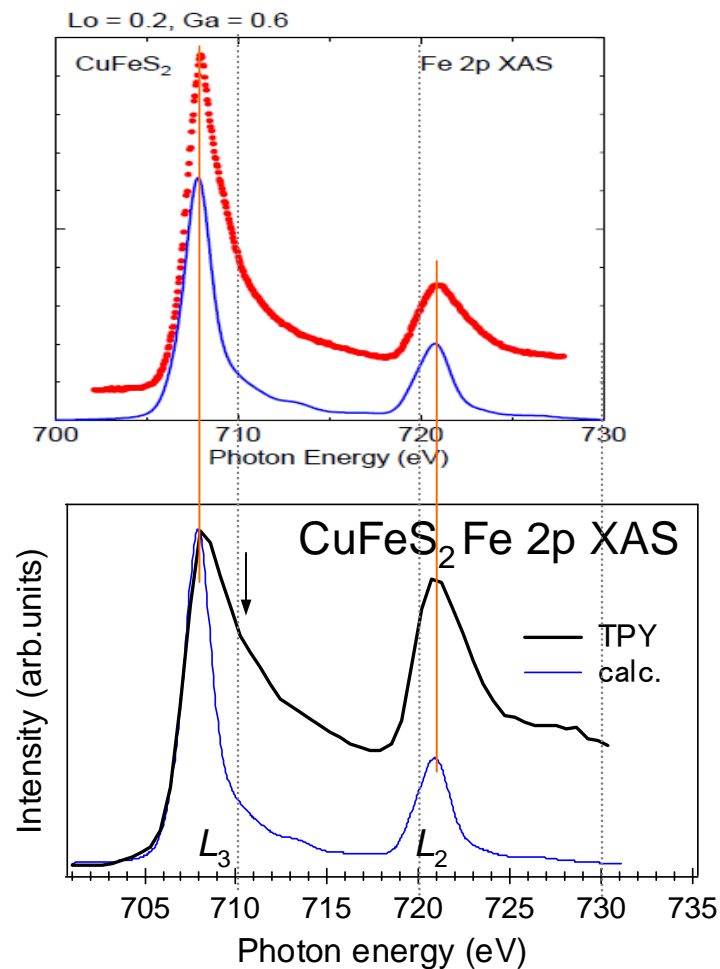
'polarized' configuration
polarization vector of the incident photon is included in detected photons

Experimental results (XAS)

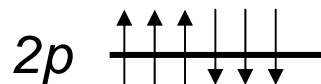
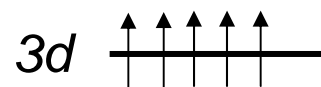
XAS experiment : Y. Mikhlin et al., J. Electron Spectrosc. Relat. Phenom. **142**,83 (2005)



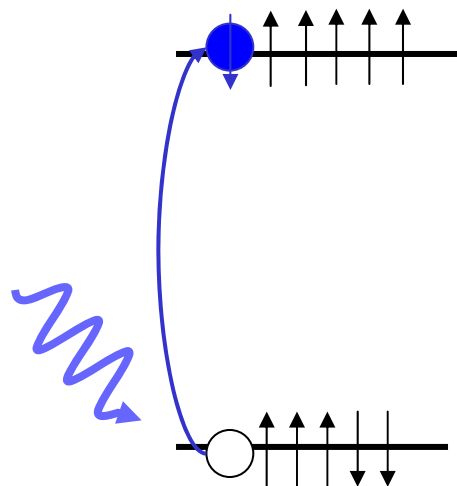
or



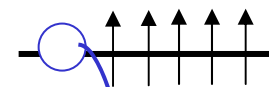
Transition Metal *L*-edge RIXS



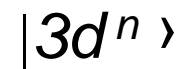
Initial state



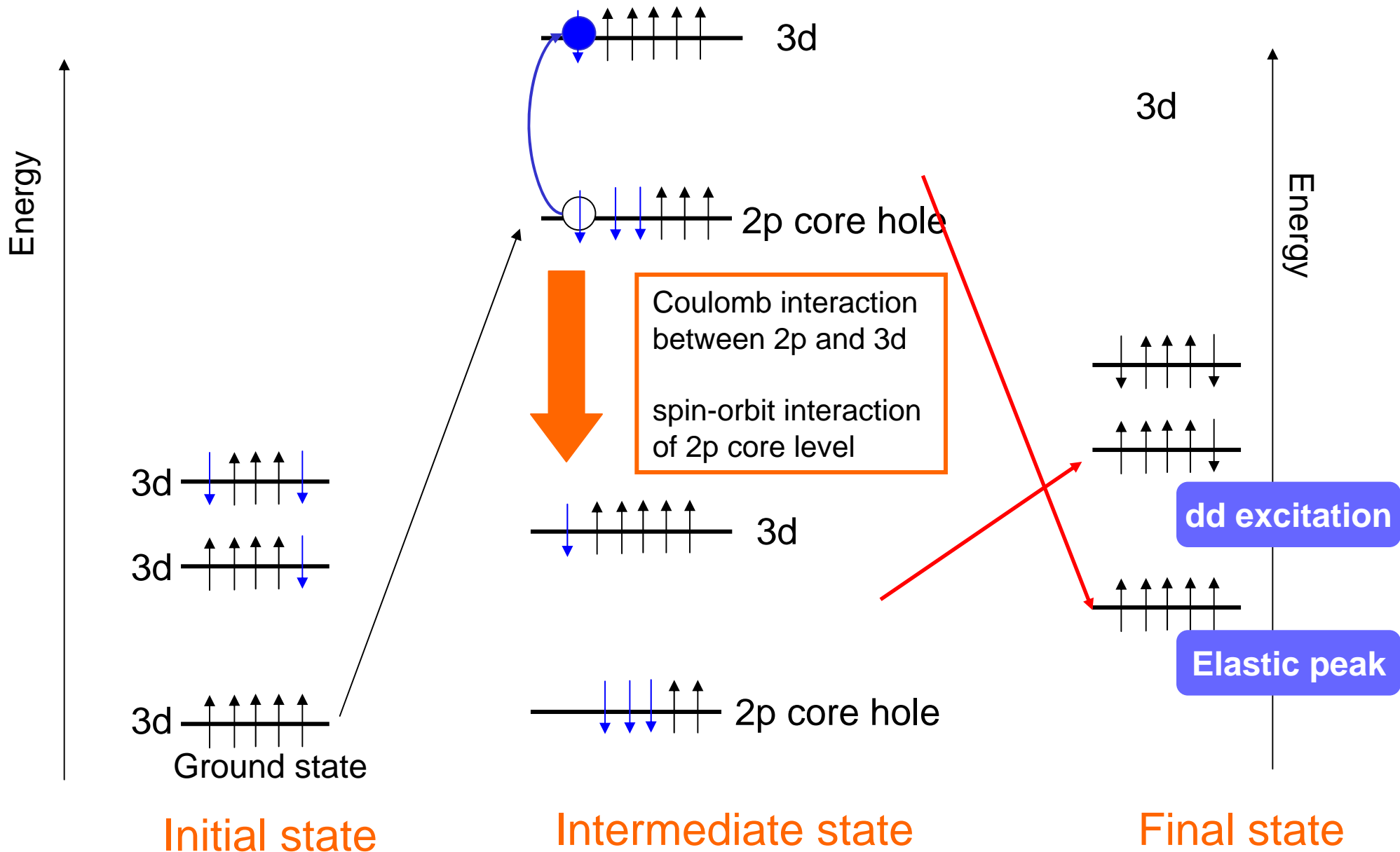
Intermediate state



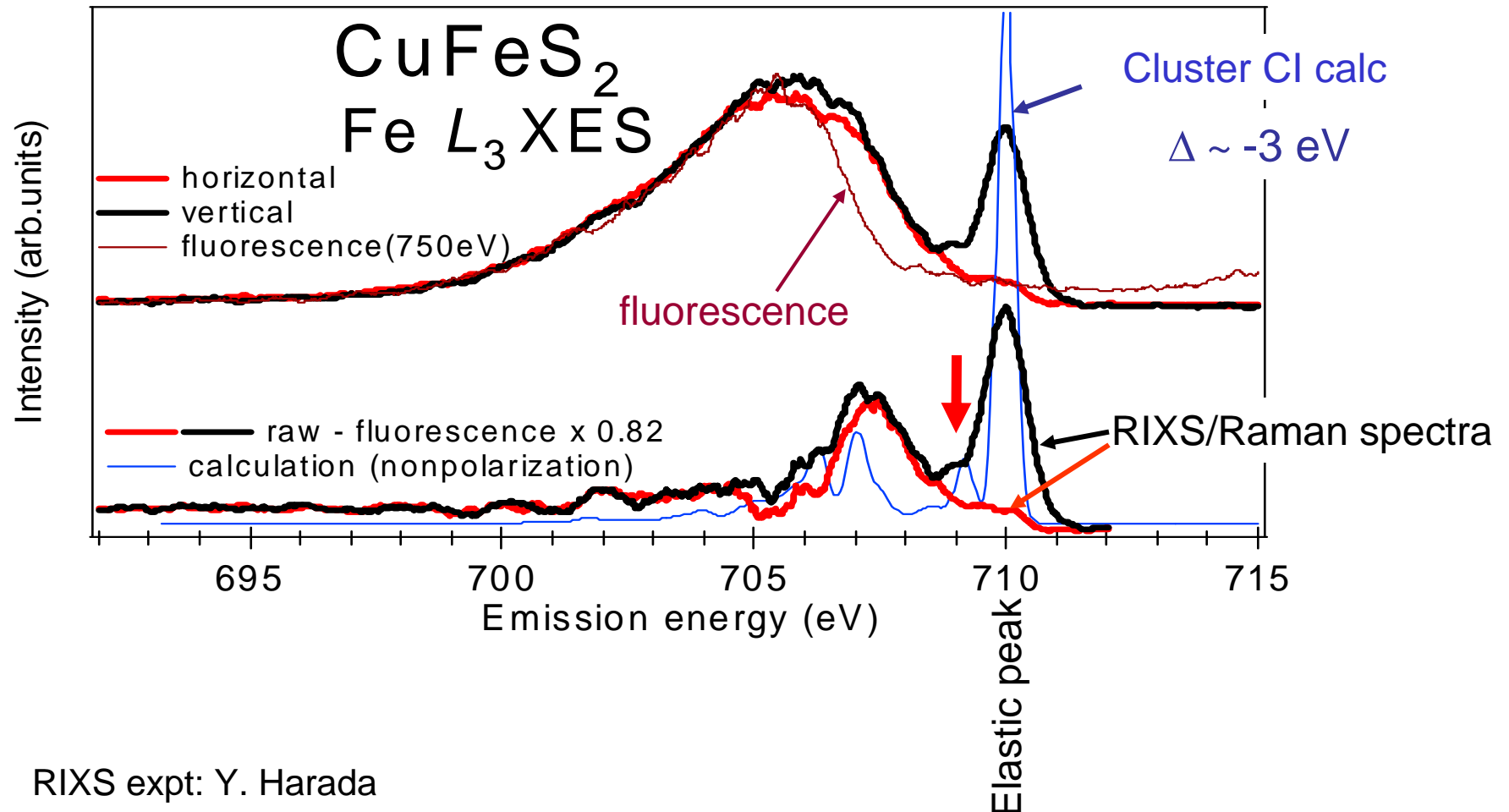
Final state



dd excitation ($3d^5$)



Unraveling hidden *d-d* transitions by resonant inelastic x-ray scattering (RIXS)



RIXS expt: Y. Harada

Cluster-model calc: M. Taguchi

CI model with full multiplet

Parameters

Approximations

- (I) Central atom: Fe $3d^5$, $3d^6$
Neighboring atom: ligand

$V(\Gamma)$: Hybridization

U_{dd} : On-site Coulomb interaction

U_{dc} : Core-hole potential

Δ : Charge transfer energy

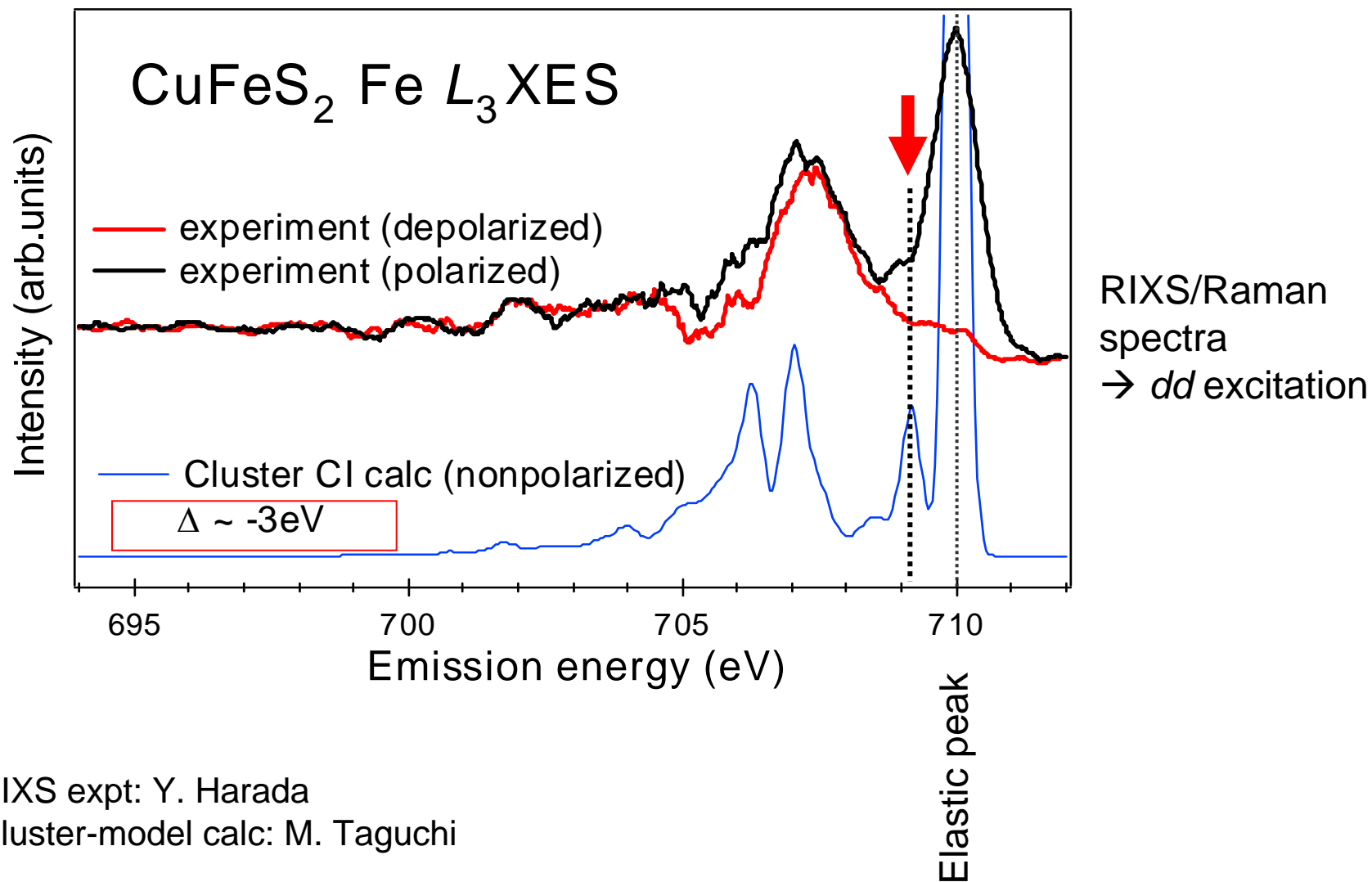
Slater Integrals (Racah parameter) are calculated by Hartree-Fock method and are rescaled by 80%

$$\begin{aligned}
 H = & \sum_{\Gamma, \sigma} \varepsilon_{3d}(\Gamma) d_{\Gamma\sigma}^\dagger d_{\Gamma\sigma} + \sum_{m, \sigma} \varepsilon_{2p} p_{m\sigma}^\dagger p_{m\sigma} + \sum_{\Gamma, \sigma} \varepsilon_p(\Gamma) a_{\Gamma\sigma}^\dagger a_{\Gamma\sigma} \\
 & \text{Fe 3d} \qquad \qquad \qquad \text{Fe 2p} \qquad \qquad \qquad \text{ligand} \\
 & + \sum_{\Gamma, \sigma} V(\Gamma) (d_{\Gamma\sigma}^\dagger a_{\Gamma\sigma} + a_{\Gamma\sigma}^\dagger d_{\Gamma\sigma}) \text{ Fe3d - ligand charge transfer} \\
 & + U_{dd} \sum_{(\Gamma, \sigma) \neq (\Gamma', \sigma')} d_{\Gamma\sigma}^\dagger d_{\Gamma\sigma} d_{\Gamma'\sigma'}^\dagger d_{\Gamma'\sigma'} \text{ Fe3d on-site Coulomb interaction} \\
 & - U_{dc}(2p) \sum_{\Gamma, m, \sigma, \sigma'} d_{\Gamma\sigma}^\dagger d_{\Gamma\sigma} (1 - p_{m\sigma'}^\dagger p_{m\sigma'}) + H_{\text{multiplet}}, \\
 & \qquad \qquad \qquad \text{Fe 2p-3d core-hole potential}
 \end{aligned}$$

Ground state: linear combination of 3 configurations



Experimental results (XES)

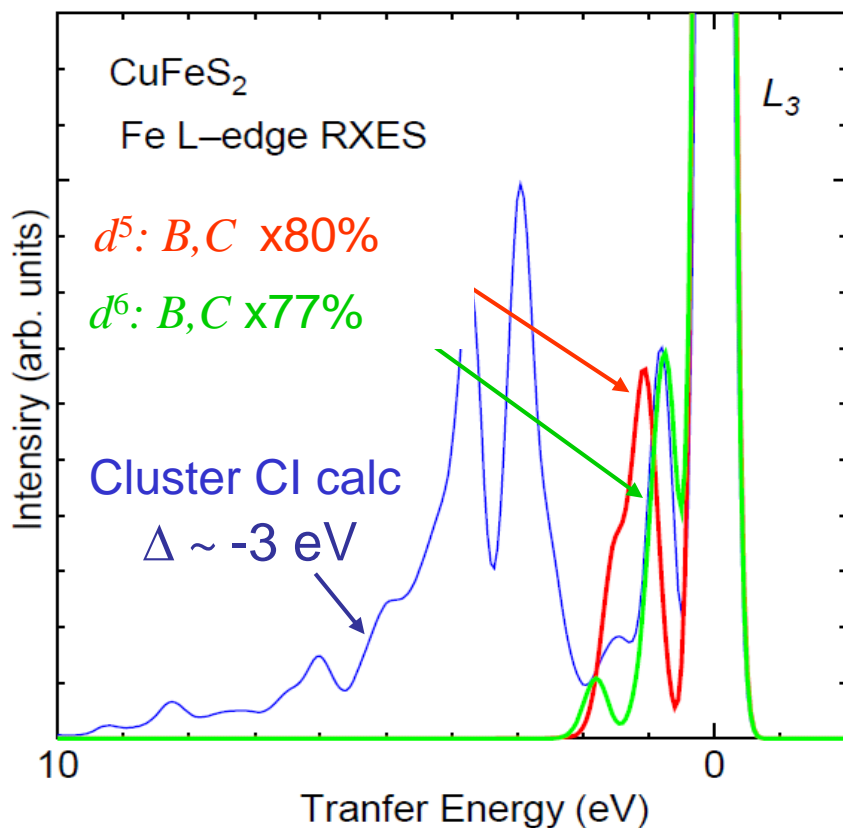


RIXS expt: Y. Harada

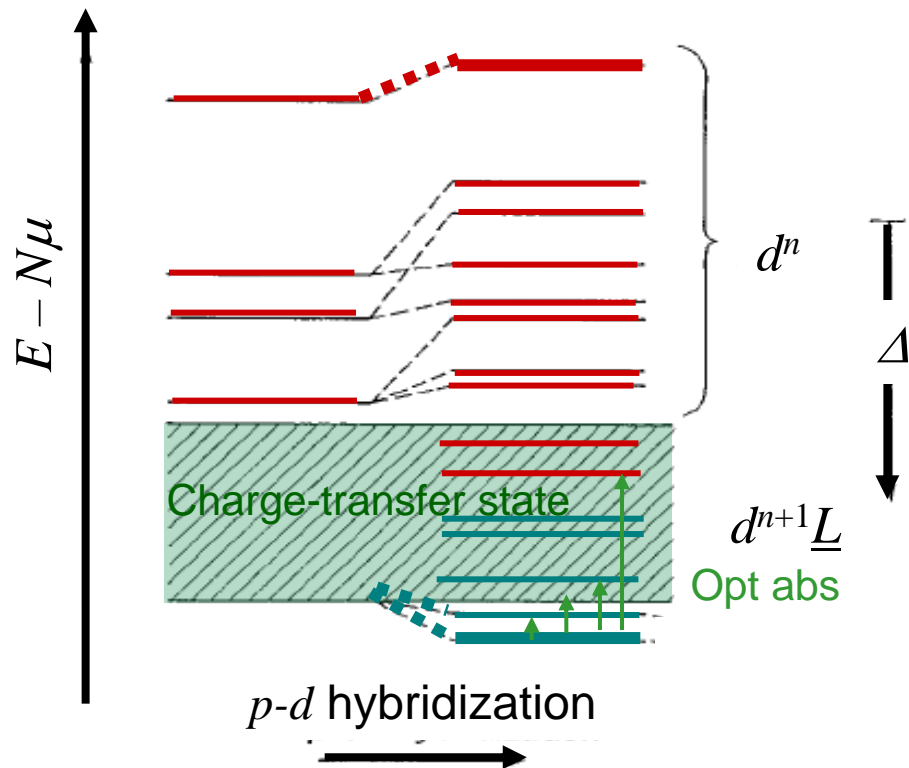
Cluster-model calc: M. Taguchi

Unraveling hidden $d-d$ transitions by resonant inelastic x-ray scattering (RIXS)

Ligand-field and CI cluster-model calcs



$$\Delta < 0$$



M. Taguchi

Conclusion

- Unusually low energy positions of IR-PL and strong CT absorption in $\text{CuAlS}_2\text{:Fe}$ can be explained by Haldane-Anderson mechanism.
- CuFeS_2 is found to be a negative CT energy insulator from PES and RIXS (XES) studies suggesting that this material is a typical “Haldane-Anderson” crystal.